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**Research Articles: Development/Plasticity/Repair**

**STAT3 controls the long-term survival and phenotype of repair Schwann cells during nerve regeneration**

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39

40 **Abstract**

41 After nerve injury, Schwann cells convert to a phenotype specialised to promote repair. But  
42 during the slow process of axonal regrowth, these repair Schwann cells gradually lose their  
43 regeneration-supportive features and eventually die. Although this is a key reason for the  
44 frequent regeneration failures in humans, the transcriptional mechanisms that control long-  
45 term survival and phenotype of repair cells have not been studied, and the molecular  
46 signalling underlying their decline is obscure. We show, in mice, that Schwann cell STAT3  
47 has a dual role. It supports the long-term survival of repair Schwann cells and is required for  
48 the maintenance of repair Schwann cell properties. In contrast, STAT3 is less important for  
49 the initial generation of repair Schwann cells after injury. In repair Schwann cells, we find  
50 that Schwann cell STAT3 activation by Tyr705 phosphorylation is sustained during long-term  
51 denervation. STAT3 is required for maintaining autocrine Schwann cell survival signalling,  
52 and inactivation of Schwann cell STAT3 results in a striking loss of repair cells from  
53 chronically denervated distal stumps. STAT3 inactivation also results in abnormal  
54 morphology of repair cells and regeneration tracks, and failure to sustain expression of  
55 repair cell markers including Shh, GDNF and BDNF. Since Schwann cell development  
56 proceeds normally without STAT3, the function of this factor appears restricted to Schwann  
57 cells after injury. This identification of transcriptional mechanisms that support long-term  
58 survival and differentiation of repair cells will help identify, and eventually correct, the failures  
59 that lead to the deterioration of this important cell population.

60 **Significance Statement**

61 Although injured peripheral nerves contain repair Schwann cells that provide signals and  
62 spatial clues for promoting regeneration, the clinical outcome after nerve damage is  
63 frequently poor. A key reason for this is during the slow growth of axons through the  
64 proximal parts of injured nerves repair Schwann cells gradually lose regeneration-supporting



65 features and eventually die. Identification of signals that sustain repair cells is therefore an  
66 important goal. We have found that in mice the transcription factor STAT3 protects these  
67 cells from death and contributes to maintaining the molecular and morphological repair  
68 phenotype that promotes axonal regeneration. Defining the molecular mechanisms that  
69 maintain repair Schwann cells is an essential step towards developing therapeutic strategies  
70 that improve nerve regeneration and functional recovery.

71

## 72 **Key words**

73 Regeneration, denervation, nerve, Schwann, injury

74

## 75 **Introduction**

76 The regeneration of damaged nerves depends on the presence of living Schwann cells in  
77 the nerve distal to injury. These cells are derived from myelin and Remak cells, but have  
78 adopted a phenotype that is specialized for supporting nerve repair (Arthur Farraj et al.,  
79 2012; Jessen et al., 2015; Jessen and Mirsky, 2016). Repair Schwann cells form  
80 regeneration tracks (bands of Bungner) that guide axons to their targets, break down myelin  
81 both directly by myelin autophagy and indirectly by activation of the innate immune response  
82 and recruitment of macrophages, and they express trophic factors that support survival of  
83 injured neurons and axon growth (Chen et al., 2007; Arthur-Farraj et al., 2012; Fontana et  
84 al., 2012; Glenn and Talbot, 2013; Brosius Lutz and Barres, 2014; Gomez-Sanchez et al.,  
85 2015). These cells differ in molecular expression, morphology, function and transcriptional  
86 controls from immature Schwann cells in developing nerves (Jessen and Mirsky, 2016).

87 Although peripheral nerves respond in this strikingly adaptive fashion to damage, the clinical  
88 outcome after nerve injury in larger animals including humans is frequently poor (Alan, 2000;  
89 Lundborg, 2000; Höke, 2006). One of the main reasons is that during the slow growth of

90 axons through the more proximal parts of injured nerves, the more distal nerve, which is  
91 without axonal contact for extended periods, gradually loses the capacity to support  
92 regeneration (Sulaiman and Gordon, 2009, 2013; Scheib and Höke, 2013). Two factors are  
93 thought to contribute to this deterioration. One is the gradual death of chronically denervated  
94 Schwann cells (Weinberg and Spencer, 1978; Siironen et al., 1994; Li et al., 1998; Jonsson  
95 et al., 2013). The other is the reduction in expression of growth-supportive factors including  
96 GDNF (glial derived neurotrophic factor) and BDNF (brain derived neurotrophic factor) by the  
97 surviving cells (You et al., 1997; Höke et al., 2002; Michalski et al., 2008; Eggers et al.,  
98 2010). This fading of the repair Schwann cell phenotype and the accompanying loss of  
99 regenerative support provided by the distal nerve stump have been carefully analysed in  
100 rodent models of chronic denervation (Sulaiman and Gordon 2009). Identification of the  
101 molecular mechanisms that sustain the differentiation state of repair cells and support their  
102 continual survival is clearly a significant goal.

103 We have previously identified activation of the transcription factor c-Jun in Schwann cells as  
104 an important regulator of the reprogramming of myelin and Remak cells into repair Schwann  
105 cells (Arthur-Farraj et al., 2012; Jessen and Mirsky, 2016). But transcriptional mechanisms  
106 that control long term maintenance of these cells have not been studied. Here we show that  
107 the STAT3 is involved in supporting the survival of chronically denervated repair cells, and  
108 also in maintaining their characteristic gene expression and morphology.

109 STAT3 is typically activated by phosphorylation of conserved tyrosine 705 residue in the C-  
110 terminal domain, resulting in dimerization and translocation from the cytoplasm to the  
111 nucleus (Aaronson and Horvath, 2002). Signalling is generally mediated via the gp130  
112 receptor complex and Janus kinases (JAKs). STAT3 can also be phosphorylated on serine  
113 727, which in most often serves to augment signalling initiated by tyrosine 705  
114 phosphorylation (Decker and Kovarik, 2000).

115 STAT3 has previously been implicated in the injury response of CNS glial cells, since it is  
116 important for the formation of the astrocyte glial scar (Wanner et al., 2013). In Schwann cells

STAT3 is also known to be phosphorylated after injury (Sheu et al., 2000; Lee et al., 2009a; Lee et al., 2009b) but the functional role of STAT3 activation in Schwann cells has not been investigated. In the present work, we have addressed this issue during nerve development, regeneration and after long term injury. While we do not find major function for STAT3 in Schwann cell development or myelination, we identify an important role in the maintenance of chronically denervated repair Schwann cells. STAT3 is therefore the second transcription factor, in addition to c-Jun, with a selective function in Schwann cells of injured adult nerves.

## Materials and methods

### Animals

Animal experiments conformed to UK Home Office guidelines under the supervision of UCL Biological Services. Sprague-Dawley rat pups of either sex were obtained from UCL Biological Services. Mice of either sex with specific deletion of the STAT3 gene in Schwann cells were obtained by crossing STAT3<sup>fl/fl</sup> mice (Alonzi et al., 2001) with P<sub>0</sub>-Cre mice (Feltri et al., 2002; D'Antonio et al., 2006), or with Dhh-Cre mice (Jaegle et al., 2003) (for experiments in Figs 3D, 4C and 6A-E). P<sub>0</sub>-Cre mice were provided by L. Feltri and L. Wrabetz. The resulting P<sub>0</sub>-Cre<sup>+</sup>/STAT3<sup>fl/wt</sup> mice were crossed back to STAT3<sup>fl/fl</sup> mice to obtain P<sub>0</sub>-Cre<sup>+</sup>/STAT3<sup>fl/fl</sup> mice, referred to as STAT3 cKO mice in which STAT3 is deleted from Schwann cells. P<sub>0</sub>-Cre<sup>-</sup>/STAT3<sup>fl/fl</sup> littermates, referred to as WT, were controls.

### Genotyping

DNA for genotyping was extracted from ear or tail samples using the Hot Sodium Hydroxide and Tris method (HotSHOT) as in (Gomez-Sanchez J. A. et al., 2015). For primers see Table 1.

### Antibodies

141 P-STAT3-Ser727 and P-STAT3-Tyr705 antibodies, both from Cell Signaling Technology  
 142 were used at 1:50 for immunohistochemistry and 1:2000 for Western blotting. Other  
 143 antibodies for Western blotting were Cyclin D1 (1:200; Santa Cruz Biotechnology), N-Cadh  
 144 (1:500; BD Transduction Laboratories), p75NTR (1:1000; Millipore), GAP43 (1:500;  
 145 Millipore), c-Jun (1:1000; Cell Signaling Technology) and GAPDH (1:5,000; Sigma-Aldrich).  
 146 HRP-conjugated secondary antibodies (1:2,000 in blocking solution) were from Cell  
 147 Signaling Technology. For immunohistochemistry, incubation with MBP antibodies  
 148 (1:10,000; COVANCE) or 324 rat anti-mouse Ig L1 antibodies (1:10) were followed by anti-  
 149 mouse Ig Alexa Fluor 488 (1:500; Molecular Probes) or anti-rat Ig Alexa Fluor 488 (1:500;  
 150 Molecular Probes), respectively. Incubation with antibodies to Ki67 (1:100; Abcam) and  
 151 SOX10 (1:100; R&D Systems) were followed by biotinylated anti-rabbit IgG (1:600;  
 152 Amersham Biosciences) and anti-goat Ig Alexa Fluor 488 (1:1000; Molecular Probes)  
 153 antibodies, respectively. The Ki67 sections were then incubated with Alexa Fluor 488  
 154 Streptavidin (1:500; Molecular Probes). CASPASE3 antibody (1:100; Cell Signaling  
 155 Technology) was followed by anti-rabbit Ig Cy3. S100 antibody (1:1000) was from Dako.

#### 156 Nerve Injury

157 The right sciatic nerve was exposed and transected at the sciatic notch (Woodhoo et al.,  
 158 2009), or crushed (3x15 sec at three rotation angles) using fine forceps. Contralateral  
 159 uninjured sciatic nerves were used as controls.

#### 160 Cell and segment cultures, BrdU assay, infection and transfection

161 Schwann cell cultures and BrdU assay were as in Morgan et al.,1991 (see also Survival  
 162 assays). Mouse Schwann cells and Schwann cell precursors were prepared as in Arthur-  
 163 Farraj et al., 2011 and Jessen et al., 1994, respectively. The precursors were cultured in  
 164 serum-free supplemented medium (Meier et al.,1999), referred to as DM (defined medium),  
 165 containing 20 ng/ml  $\beta$ NRG-1. Tibial nerve segments were maintained in DMEM with 5% FBS  
 166 (Gomez Sanchez et al., 2015). Adenoviral infections and plasmid transfections were as in

167 Parkinson et al. 2001; 2004. An adenovirus expressing Cre recombinase (Akagi et al., 1997)  
 168 was used to infect STAT3<sup>fl/fl</sup> Schwann cells generating STAT3 KO cells. Constitutively active  
 169 STAT3 plasmid (Bromberg et al., 1999), STAT3-CA, was provided by Dr A Stephanou  
 170 (Institute of Child Health, UCL, London). The control used was the pRc/CMV empty vector  
 171 (Invitrogen Life Technologies, Paisley, UK). Both were co-transfected with a pBabe-GFP  
 172 plasmid to allow visualization of transfected cells. The STAT3 peptide inhibitor (Calbiochem)  
 173 used in the proliferation assay is a cell permeable STAT3-SH2 domain-binding  
 174 phosphopeptide that contains a C-terminal membrane translocating sequence, acting as a  
 175 highly selective, potent blocker of STAT3 activation (Turkson, et al., 2001). The AG490 JAK2  
 176 kinase (STAT3) inhibitor was from Calbiochem.

#### 177 Electron microscopy

178 Nerves were processed as previously described (Gomez-Sanchez et al., 2015). Transverse  
 179 ultrathin sections of cut tibial nerves were taken 5 mm from the cut site. To analyse the  
 180 structure of Bungner bands, 20-26 random photographs per nerve at X12K were used. For  
 181 cell counts, nuclei counted in every field, or every second or every third field, depending on  
 182 the size of the nerve, were multiplied by the number of fields to generate totals. Regeneration  
 183 tracks (Bungner's bands) were identified as a group of Schwann cell profiles (sometimes a  
 184 single profile) surrounded by a basal lamina sheath as seen in transverse nerve sections.  
 185 Roundness index and profile area were obtained after manual tracing of randomly selected  
 186 profiles using ImageJ software.

#### 187 Survival assay

188 Schwann cells from P1 STAT3 cKO and WT mice were assayed as in Meier et al., 1999.  
 189 Cells were plated at low density (200 cells/cover slip) or high density (3000 cells/cover slip).  
 190 After 3 hr at 37°C and 5% CO<sub>2</sub>, one set of coverslips from each animal was fixed  
 191 immediately for immunolabelling to obtain a reference point for the quantification of survival  
 192 at later time points. The remaining sets were topped up with 400µl of defined simple

193 medium (sDM; Meier et al., 1999) alone or sDM containing 1.6 ng/ml IGF-II (Peprotech Ltd,  
 194 London, UK), 0.8 ng/ml PDGF-BB (Peprotech Ltd) and 0.8 ng/ml NT-3 (Regeneron  
 195 Pharmaceuticals), or conditioned medium, and cultured for 48 or 72 hr. Then, cells were  
 196 fixed using 4% PFA (paraformaldehyde) for 10 min, labeled with S100 antibodies and  
 197 Hoechst dye, and the number of surviving Schwann cells counted. Survival percentage is the  
 198 number of living cells present at 48 and 72 hr as a percentage of the number of cells that  
 199 had attached to the substrate in sister cultures at 3 hr. sDM consists of 1:1 DMEM and  
 200 Ham's F-12 supplemented with bovine serum albumin (350 µg/ml). Schwann cell  
 201 conditioned medium was prepared as previously described (Meier et al., 1999).

#### 202 TUNEL staining

203 To detect apoptotic cells, DNA fragmentation was labelled using the terminal  
 204 deoxynucleotide transferase-mediated dUTP-biotin nick end labeling (TUNEL) method ,  
 205 using TUNEL enzyme (Roche) and TUNEL Lab Mix (Roche), according to the  
 206 manufacturer's protocol. To identify TUNEL positive nuclei from Schwann cells and  
 207 macrophages, immunolabeling with S100 and F4/80 (1:100; AbD Serotec), respectively, was  
 208 carried out subsequently. Nuclei were stained using Hoechst dye.

#### 209 Western blotting and quantitative PCR

210 For blotting, homogenates were obtained from injured and uninjured nerves as well as  
 211 cultured nerve segments essentially as previously (Gomez-Sanchez et al., 2015).  
 212 Experiments were repeated at least three times with fresh samples and representative  
 213 pictures are shown. Densitometric quantification was by Image Lab 4.1 (Bio-Rad  
 214 Laboratories). Measurements were normalized to loading control GAPDH. For PCR, total  
 215 RNA was isolated using the RNeasy Lipid Tissue Mini Kit (Qiagen) with a DNase I step  
 216 performed to eliminate traces of genomic DNA. Real-time PCR was performed using CFX96  
 217 Real-Time PCR Detection System (Bio-Rad). PrecisionPLUS qPCR Mastermix with SYBR

218 Green (Primerdesign Ltd) was used to detect double-stranded DNA. Primer sequences are  
219 described in Table 1.

## 220 Behavioral tests

221 Experiments conformed to UK Home Office guidelines. Six mice/ genotype were tested.  
222 Mice were tested before surgery to ensure that there were no differences in normal  
223 responses between the genetic backgrounds. Tests were carried out as in Arthur-Farraj et  
224 al., 2012.

## 225 Statistical analysis

226 Results are expressed as mean  $\pm$  SEM. Statistical significance was estimated by Student's t  
227 test, one-way ANOVA, two-way ANOVA, or Mann-Whitney U-test. A P value  $< 0.05$  was  
228 considered as statistically significant. Statistical analysis was performed using GraphPad  
229 software (version 6.0).

230

## 231 Results

### 232 STAT3 activation is seen in embryonic nerves and persists in adult Schwann cells

233 Before studying the role of STAT3 in Schwann cells, we analysed STAT3 expression and  
234 activation during nerve development using Western blotting (Fig. 1A). STAT3 protein was  
235 present at all stages of the Schwann cell lineage from the Schwann cell precursor (SCP)  
236 stage at embryo day 12 (E12) onwards. At the precursor stage, serine 727 and tyrosine 705  
237 STAT3 phosphorylation (P-STAT3-Ser727 and P-STAT3-Tyr705) were low and  
238 undetectable, respectively. At the immature Schwann cell stage (E18), both P-STAT3-  
239 Ser727 and P-STAT3-Tyr705 were clearly up-regulated and maintained until adulthood (Fig.  
240 1A). Immunolabelling of teased adult nerves showed P-STAT3-Ser727 in the nucleus of both  
241 MBP-positive myelin cells and L1-positive non-myelin (Remak) cells (Fig. 1B), although the  
242 lower levels of P-STAT3-Tyr705 could not be detected unambiguously by this method. Thus,



243 STAT3 activation largely coincides with the Schwann cell precursor to Schwann cell  
244 transition, and basal STAT3 activation persists in adult nerves.

245 **In WT mice, STAT3 does not have a major role in Schwann cell development and**  
246 **myelination**

247 To explore the potential importance of STAT3 in the Schwann cell lineage we generated a  
248 conditional knock-out mouse in which STAT3 gene is specifically ablated only in Schwann  
249 cells. To do this STAT3<sup>fl/fl</sup> mice, having loxP sites flanking exons 12-14 of the STAT3 gene  
250 (Alonzi et al., 2001), were crossed with mice expressing Cre under the control of the P<sub>0</sub>  
251 promoter (Feltri et al., 2002), to generate P<sub>0</sub>-Cre<sup>+</sup>/STAT3<sup>fl/fl</sup> (STAT3cKO) mice (Fig. 2A).

252 The STAT3cKO mice were born and survived normally, and their nerves were  
253 indistinguishable from control<sup>fl/fl</sup> littermates (WT). At postnatal day three (P3), the area of a  
254 transverse section through the sciatic nerve, the number of Schwann cell nuclei/nerve, and  
255 the number of myelinated axons/nerve were similar in STAT3cKO and WT mice (Fig. 2B). In  
256 adult nerves, thick myelin sheaths were seen around the largest calibre axons and no  
257 significant difference was observed in the g-ratio between STAT3cKO and WT nerves (Fig.  
258 2C).

259 The fact that Schwann cell numbers in the mutants were normal at P3 suggested that  
260 STAT3 signalling affected neither normal developmental death nor proliferation. This was  
261 confirmed by double labelling sections of P1.5 sciatic nerves with the proliferation marker  
262 Ki67 and SOX10 antibodies to identify Schwann cells. No significant difference was found in  
263 the number of Schwann cells labelled with Ki67 antibodies between WT and STAT3cKO  
264 nerves (Fig. 2D). In another test of proliferation, purified cultures of mouse Schwann cells  
265 were treated with βNRG-1, a well-established mitogen for Schwann cells in vitro, in the  
266 presence of a STAT3 peptide inhibitor. BrdU incorporation revealed that STAT3 inhibition  
267 had no effect on DNA synthesis of Schwann cells (Fig. 2E). The same results were also

268 seen using AG490, an inhibitor of the JAK2 signalling pathway (Nielsen et al., 1997) (data  
269 not shown).

270 Together these results suggest that the basal STAT3 activation in embryonic and postnatal  
271 Schwann cells is largely dispensable and has little developmental significance. In lens  
272 development, functional redundancy between STAT3 and STAT1 has been suggested  
273 (Ebong et al. 2004), but this issue remains unclear (Hirahara et al. 2015). Nevertheless, it  
274 remains possible that in a mouse in which STAT1 was genetically inactivated, a function for  
275 STAT3 in Schwann cell development might be revealed.

276

#### 277 **In injured nerves, STAT3 is activated to support Schwann cell survival**

278 STAT3 signalling promotes survival in a number of cell types (e.g. Schweizer et al., 2002;  
279 Shen et al., 2004). To determine whether STAT3 supports Schwann cell survival in injured  
280 nerves, we examined STAT3 activation in cut sciatic nerves, and compared Schwann cell  
281 survival in cut nerves of WT and STAT3cKO mice. Western blots of cut nerves of adult WT  
282 mice showed a sharp (about eight-to-10 fold) rise in P-STAT3-Tyr705, the phosphorylation  
283 epitope that controls STAT3 dimerization and activation (Aaronson and Horvath, 2002). This  
284 was seen in nerve segments 0-2 mm and 2-7 mm distal to the cut at several time points,  
285 three, seven and 28 days, after injury (Fig. 3A). This STAT3 activation was not due to  
286 invading macrophages, because it was also seen in distal segments from cut nerves  
287 maintained in vitro for three days under conditions where macrophages are unable to invade  
288 (Fig. 3B). P-STAT3-Ser727, generally thought to modify signalling mediated by P-STAT3-  
289 Tyr705 (Decker and Kovarik, 2000), was also elevated after injury (Fig. 3A), and  
290 immunolabelling for both P-STAT3-Tyr705 and P-STAT3-Ser727 was seen in Schwann cell  
291 nuclei in teased injured nerves (Fig. 3C). In cultured nerve segments, however, Western  
292 blots failed to show P-STAT3-Ser727 up-regulation (Fig. 3B). This suggests that the  
293 activation of this epitope in Schwann cells after injury requires additional signals, which are

294 present in vivo but not in culture. Alternatively, it is possible that macrophages contribute  
 295 significantly the signal measured in in vivo nerve homogenates (Girolami et al., 2010). In  
 296 mice, nerve cut results in apoptotic Schwann cell death in the distal nerve stump. To test  
 297 whether STAT3 supported the survival of Schwann cells after injury, we quantified dying  
 298 cells in sections from sciatic nerves 3 days after cut using the TUNEL assay. This revealed a  
 299 four-fold increase in the number of S100 positive TUNEL-labelled Schwann cells in  
 300 STAT3cKO nerves compared with control nerves (Fig. 3D). Confirming this, an increase in  
 301 the number of caspase-3 positive cells was also seen in cut STAT3cKO nerves (not shown).

302 To further test the idea that STAT3 is involved in the mechanisms that protect Schwann cells  
 303 from death, we tested whether STAT3 protected cultured Schwann cells from stress induced  
 304 by UV light, a model used to study STAT3 involvement in survival of other cell types (Shen  
 305 et al., 2001; Sano et al., 2005). First, a UV-time course experiment determined that 24 hr  
 306 was optimal for assessing cell death (data not shown). At this point, Schwann cell nuclei  
 307 started to show the hallmarks of apoptosis (condensed, bright nuclei fragmenting into  
 308 apoptotic bodies), but the cells were still attached to the coverslip allowing quantification by  
 309 Hoechst staining. Using rat Schwann cells, we found that inhibition of STAT3 signalling by  
 310 the JAK2 inhibitor AG490 increased UV-apoptosis (Fig. 4A). Conversely, enforced  
 311 expression of a constitutively active form of STAT3 (STAT3-CA) protected Schwann cells  
 312 from UV-induced death (Fig. 4B). Further, in mouse Schwann cells, UV light was more than  
 313 twice as effective in inducing Schwann cell death in cells in which STAT3 had been  
 314 genetically inactivated, compared to WT cells (Fig. 4C).

315 We conclude that activation of STAT3 signalling helps to protect Schwann cells in injured  
 316 nerves from death.

### 317 **STAT3 is required for long-term survival of repair Schwann cells after injury**

318 Injury-related Schwann cell death has chiefly been studied in two situations. One is the acute  
 319 death examined above, which represents a transient phase of a strong increase in apoptosis

320 from a very low level, in which a relatively small percentage of Schwann cell die (Grinspan et  
 321 al., 1996; Yang et al., 2008; Ahmad et al., 2015). The other is the slow, large-scale death of  
 322 Schwann cells that are deprived of axonal contact for long periods, often months, while  
 323 axons regenerate towards them along the more proximal parts of the nerves. The loss of  
 324 these chronically denervated Schwann cells is a major barrier to nerve repair in humans, and  
 325 has been extensively studied in rodents (Höke, 2006; Sulaiman and Gordon, 2009).

326 Having found that STAT3 protects against acute death, we tested whether STAT3 also  
 327 regulated the loss of chronically denervated Schwann cells. In these experiments we  
 328 compared one, four, eight and 10 week cut nerves in mice in which the proximal stump was  
 329 deflected to prevent regeneration into the distal nerve stump. First, Western blotting showed  
 330 that in eight week cut nerves levels of P-STAT3-Tyr705 were only reduced by about 20-30%  
 331 compared to those seen in one week cut nerves, in which P-STAT3-Tyr705 expression, in  
 332 turn, is about eight-to-10 fold that in uninjured nerves (see earlier section). STAT3-Ser727  
 333 also remained activated in eight week cut nerves (Fig. 5A). This shows that the STAT3  
 334 pathway remains activated in chronically denervated Schwann cells, a precondition for the  
 335 involvement of STAT3 in maintaining this cell population. Second, to test whether this was  
 336 the case, electron microscopy was used to count the number of Schwann cell nuclei in the  
 337 distal stumps of transected tibial nerves of WT and STAT3cKO mice at four, eight and 10  
 338 weeks after cut. This showed that in STAT3cKO nerves, the number of Schwann cells was  
 339 substantially and significantly reduced compared with WT nerves at eight and 10 weeks (Fig.  
 340 5B). This result matched with the higher number of caspase-3 positive Schwann cells found  
 341 in eight week cut nerves from STAT3cKO mice (Fig. 5D). At the earlier time point of four  
 342 weeks, however, Schwann cell numbers were similar in both genotypes. The number of  
 343 macrophages and fibroblasts was not significantly different in WT and mutant nerves at four  
 344 and eight weeks after cut, while the number of fibroblasts was reduced in the mutant nerves  
 345 at 10 weeks (Fig. 5B). Light microscopic counts of cells in the nerves of the fourth toe of WT  
 346 and STAT3cKO mice four weeks and eight weeks after nerve cut (without reinnervation)

347 showed similar reduction in cell numbers to that found in more proximal nerves (above and  
348 data not shown).

349 Our previous data on the regulation of Schwann cell proliferation had suggested that STAT3  
350 signalling was not involved (see earlier section). This was confirmed by examining four day  
351 and four week cut nerves using cyclin D1 levels as a measure of proliferation (Atanasoski et  
352 al., 2001). At four days after cut, when proliferation is high, there was an equal and  
353 substantial rise in cyclin D1 levels in both WT and STAT3cKO nerves. Four weeks after cut,  
354 when proliferation is returning to baseline levels (Siironen et al., 1994; Hall, 1999) cyclin D1  
355 levels were much lower and not significantly different between WT and mutants (Fig. 5C).

#### 356 **STAT3 is required for autocrine survival signalling in denervated Schwann cells**

357 The experiments above indicated that STAT3 signalling supports the short- and long-term  
358 survival of Schwann cells after injury, an issue of particular importance for regeneration. We  
359 therefore examined the underlying mechanism. Previously, we suggested that the survival of  
360 Schwann cells in injured nerves depended on autocrine signalling involving IGF, NT-3 and  
361 PDGF-BB (Meier et al., 1999). We also showed that during development autocrine circuits  
362 appear at the immature Schwann cell stage (E18) and are not present in Schwann cell  
363 precursors, a developmental timing that the present work shows coincides with STAT3  
364 activation. To test whether STAT3 signalling plays a role in Schwann cell autocrine survival  
365 circuits, we carried out experiments similar to those we used previously to identify autocrine  
366 Schwann cell mechanisms (Meier et al., 1999). First, to investigate the general ability of  
367 STAT3cKO cells to survive in culture without autocrine support (i.e. at low density), Schwann  
368 cells from P1 STATcKO and WT mice were plated at low density in simple defined medium  
369 (sDM). In a 48 hr assay, we found that the ability of STAT3cKO and WT cells to survive  
370 under these conditions was identical, both cells showing about 50% survival relative to the  
371 cell number present three hr after plating, which is in line with previous results (Meier et al.,  
372 1999) (Fig. 6A). When WT cells are plated at high density in this assay, their survival at 48 hr  
373 increases to about 80% due to autocrine factors secreted by the Schwann cells themselves

374 (Meier et al., 1999). To test whether these autocrine survival circuits functioned without  
 375 STAT3, cells from P1 STATcKO and WT mice were plated at high density. The survival of  
 376 WT cells at 48 and 72 hr increased to about 80% as expected (Fig.6B and C). The survival  
 377 of STAT3cKO cells, however, remained similar to that seen in sparse cultures, suggesting  
 378 absence of autocrine survival support (Fig. 6B and C).

379 In a further test of this, sparse cultures were exposed to conditioned defined medium (cDM)  
 380 previously conditioned by dense Schwann cell cultures. As expected from an autocrine  
 381 mechanism, and shown in previous work, this increased the survival of sparsely plated WT  
 382 cells to levels similar to those seen in densely plated cultures. In contrast, the survival of  
 383 sparsely plated STAT3cKO cells was not increased in response to conditioned medium, or to  
 384 IGF-II, the major constituent of the conditioned medium (Meier et al. 1999) (Fig. 6D). This  
 385 confirmed the absence of functioning autocrine survival circuits in STAT3cKO cells and  
 386 indicated that these cells are not responsive to autocrine signals even when they are present  
 387 in the culture medium. STAT3cKO cells remained, however, normally responsive to another  
 388 key survival signal in the Schwann cell lineage,  $\beta$ NRG-1 that is expressed on axons and acts  
 389 in a paracrine manner, since  $\beta$ NRG-1 was equally effective in supporting the survival of E13  
 390 Schwann cell precursors from WT and STAT3cKO mice (Fig. 6E).

391 To determine whether autocrine Schwann cell signals activate endogenous STAT3  
 392 signalling, we used an adenoviral STAT3-luciferase GFP reporter construct containing four  
 393 tandem copies of STAT3 binding sites (Besser et al., 1999, Staples et al., 2007) (Fig. 6F).  
 394 The construct was infected into neonatal rat Schwann cell cultures prior to exposure to  
 395 relevant components. Because low density cultures generated insufficient luciferase signal,  
 396 higher cell densities were used in these experiments, although this generated high  
 397 background readings, even in control cultures without added factors, presumably due to the  
 398 presence of autocrine factors. Nevertheless, elevated luciferase signal, indicating STAT3  
 399 activation, was obtained in response to Schwann cell conditioned medium, a combination of  
 400 low concentrations of IGF-II, NT-3 and PDGF-BB that mimics the conditioned medium

401 (Meier et al., 1999), or high concentration of the major conditioned medium ingredient IGF-II  
 402 (Fig. 6F).

403 These results show that STAT3 is required for autocrine Schwann cell survival signalling,  
 404 and suggest that defective autocrine survival support contributes to the substantial loss of  
 405 STAT3cKO Schwann cells when these cells are subjected to chronic denervation.

406 **STAT3 is essential for the long-term maintenance of the phenotype of repair**  
 407 **Schwann cells**

408 The gradual loss of repair supportive capacity by distal nerves is due not only to the death of  
 409 chronically denervated Schwann cells, but also to the gradual fading of the repair Schwann  
 410 cell phenotype, evidenced by the gradual reduction in expression of regeneration supportive  
 411 factors such as GDNF and BDNF in distal nerve stumps during chronic denervation (Höke et  
 412 al., 2002; Eggers et al., 2010). We therefore asked whether STAT3 might be more broadly  
 413 involved in maintaining the regeneration supportive functions of injured nerves by testing  
 414 whether STAT3 was required for the maintenance of the repair Schwann cell phenotype, in  
 415 addition to supporting the long-term survival of these cells. To this end we compared the  
 416 repair Schwann cell phenotype in eight week cut distal stumps of WT and STAT3cKO nerves  
 417 using morphometric analysis of regeneration tracks (Bungners bands), qRT-PCR, and  
 418 Western blotting.

419 Morphologically, the regeneration tracks in STAT3cKO nerves were obviously abnormal.  
 420 Compared to eight week cut WT nerves, there were fewer cellular profiles in each track, the  
 421 profiles were flatter, and the average area of each profile was increased. The number of  
 422 redundant basal lamina profiles was also higher, a feature likely to reflect the increased cell  
 423 death in these nerves (see previous section) (Fig. 7A-E).

424 Analysis of eight week cut STAT3cKO nerves by qRT-PCR also showed substantial  
 425 reduction in expression of key markers of repair Schwann cells, such as c-Jun, Olig1, and  
 426 Shh, and repair-supportive factors such as GDNF and BDNF (Fig.7F), all of which are



427 activated in Schwann cells after injury (Shy et al. 1996; Arthur-Farraj et al. 2012; Fontana et  
428 al. 2012; Brushart et al. 2013).

429 Western blotting showed that eight weeks after cut, STAT3cKO nerves expressed lower  
430 levels of c-Jun and GAP-43 proteins compared to WT (Fig. 7, G and H). Two other proteins  
431 that are up-regulated after injury, N-Cadherin and p75NTR were expressed at levels similar  
432 to those seen in eight week cut WT nerves (Fig. 7I).

433 While repair Schwann cells were clearly abnormal in eight week cut STAT3cKO sciatic  
434 nerves, short-term denervated cells in these mice were relatively normal (Fig. 8). Thus in  
435 four week cut nerves the morphological changes that were obvious at eight weeks were  
436 detectable but mild (Fig. 7A). In one week cut nerves, c-Jun mRNA and protein were found  
437 at normal levels in STAT3cKO mice. Expression of N-Cadherin and p75NTR protein was  
438 also similar in WT and STAT3cKO mice (Fig. 8A-C). In these nerves, the substantial  
439 difference in GAP-43 levels seen at eight weeks was only emerging (Fig. 7H). In line with  
440 these findings, functional tests of regeneration of the sciatic nerve after crush injury indicated  
441 that nerve repair, which in these assays takes place during the first two-to-three weeks after  
442 injury, proceeds at a similar rate in WT and STAT3cKO mice (Fig. 8D-F).

443 We conclude that STAT3 has a dual role in injured nerves. It supports the long-term survival  
444 of repair Schwann cells and is required for the long-term maintenance of the repair Schwann  
445 cell phenotype. In contrast, STAT3 appears relatively unimportant for the initial generation of  
446 repair Schwann cells.

447

## 448 Discussion

449 Because human nerves are long and axons grow slowly, all but the most distal nerve injuries  
450 result in chronic denervation of Schwann cells that can last for months, even years. In  
451 experimental animals long-term denervation of the distal stump can be mimicked by nerve  
452 cut combined with deflection of the proximal stump to prevent reinnervation. Clinical

453 observations and animal experiments agree that axon-free distal nerve stumps gradually  
 454 lose the capacity to support regeneration. Although this is considered a key reason for  
 455 regeneration failure in humans, and is known to involve loss of trophic factor expression and  
 456 cell death, the molecular signalling mechanisms underlying this deterioration remain obscure  
 457 (Höke, 2006; Sulaiman and Gordon, 2009; Jonsson et al., 2013). In the present work, we (i)  
 458 report that STAT3 is activated by Tyr705 phosphorylation in Schwann cells distal to nerve  
 459 injury in agreement with previous work by others, (ii) show that this activation is sustained in  
 460 repair Schwann cells during long-term denervation, and (iii) demonstrate that selective  
 461 inactivation of Schwann cell STAT3 results not only in a marked loss of Schwann cells from  
 462 chronically denervated distal stumps, but also reduces the capacity of these cells to maintain  
 463 their repair-supportive phenotype. This identification of a transcriptional mechanism involved  
 464 in supporting long-term survival and differentiation of repair Schwann cells contributes to our  
 465 understanding of how these important cells are maintained, and will help identify in  
 466 molecular terms the failures that lead to their deterioration.

467 The present data indicate that STAT3 regulates Schwann cell survival, a function likely to be  
 468 particularly significant for the long-term survival of Schwann cells in denervated distal  
 469 stumps. This is in line with the role of STAT3 in other systems (e.g. Bader et al., 2014). We  
 470 find that absence of STAT3 results in increased Schwann cell death in four different  
 471 situations. First, this is seen in the STAT3cKO Schwann cells of chronically denervated distal  
 472 nerves. Second, increased death is also seen STAT3cKO nerves three days after injury  
 473 although in this case relatively few Schwann cells die, and Schwann cell numbers are not  
 474 significantly different between STAT3 mutants and WT controls (Grinspan et al., 1996; Yang  
 475 et al., 2008; Ahmad et al., 2015). Third, STAT3 inactivation results in reduced response to  
 476 autocrine survival signals in neonatal cultured Schwann cells. Fourth, STAT3 knockout cells  
 477 die more readily in response to UV irradiation.

478 STAT3 signalling does not appear to affect developmental death of Schwann cells, where  
 479 we have previously shown that TGF $\beta$  signalling plays a role (D'Antonio et al., 2006) and it is

not involved in Schwann cell precursor survival controlled by NRG-1. Although STAT3 signalling promotes proliferation in some systems (e.g. Debidida et al., 2005), we do not find this effect in Schwann cells. Taken together our results suggest that the significant loss of repair Schwann cells in chronically denervated distal stumps of STAT3cKO mice is caused by a defective autocrine support, a survival mechanism that we and others have suggested to be central for preventing death of Schwann cells without axonal contact (Dowsing et al., 1999; Meier et al., 1999).

Using qRT-PCR analysis of the distal stump eight weeks after nerve cut, we find that STAT3cKO mice show decreased expression of key markers of repair Schwann cells compared with levels seen in WT mice at the same time points. This includes *c-Jun*, *Olig1* and *Shh* and of neurotrophic factors, such as *GDNF* and *BDNF* known to promote axonal regeneration. With respect to protein expression, GAP-43 and c-Jun levels were also lower while N-Cadherin and p75NTR levels were the same in mutant and control distal stumps. This is significant because p75NTR promotes cell death in Schwann cells after nerve injury (Ferri and Bisby, 1999) but cannot be a defining factor in the increased death seen in STAT3 mutant distal stumps.

The importance of STAT3 signalling in the Schwann cells of long-term denervated nerves is also seen at the morphological level. In STAT3cKO mice the shape of repair cells and structure of the regeneration tracks they form (bands of Bungner) is clearly altered, although not to the same extent as that seen in Schwann cell c-Jun null nerves (Parkinson et al., 2008; Arthur-Farraj et al., 2012). The number of Schwann cell profiles per Bungner band is also lower. This is likely to reflect the increased cell death in the mutants, but changes in cell shape could also contribute to this effect.

Elevation in STAT3 expression in injured nerves has been reported previously. Rapid activation of STAT3 signalling is implicated in the retrograde signalling from severed axons to the neuronal soma and in the initiation of axonal growth (Bareyre et al., 2011; Ben-Yaakov et al., 2012; Chandran et al., 2016). An increase in STAT3 signalling is also seen in

507 Schwann cells after peripheral nerve injury. Sheu et al. (2000) used Western blotting to show  
508 activation of STAT3 Ser 727 in the proximal nerve stump from 30 min to 16 days after injury,  
509 in agreement with results above that show strong activation of STAT3 in axons after sciatic  
510 nerve transection. More modest activation was seen in the distal stump 3 hr and 24 hr after  
511 nerve transection, most likely in Schwann cells. This finding was supported by Lee et al.  
512 (2009b) who showed activation of Ser727 in the sciatic nerve distal stump between 6 h and  
513 five days after crush injury and in cultured Schwann cells. In Schwann cells, IL-6, acting via  
514 gp130, and NRG-1 acting via ErbB2/3 can both induce STAT3 activation on Ser727 and  
515 Tyr705. IL-6, acting via STAT3 is also required for early induction of GFAP after nerve injury  
516 (Lee et al., 2009a; Lee et al., 2009b).

517 We have shown previously, using immunohistochemistry, that GAP-43 levels in Schwann  
518 cells in the sciatic nerve rise slowly in the weeks after nerve injury (Curtis et al., 1992),  
519 although a rapid rise in GAP-43 levels is seen in terminal Schwann cells of the  
520 neuromuscular junction (Woolf et al., 1992). This is confirmed in the present study, which  
521 shows that in WT nerves protein levels of GAP-43 are higher at eight weeks than at one  
522 week after nerve cut. In STAT3cKO nerves, however, levels of GAP-43 are substantially  
523 lower than those in WT, both at one week and, more strikingly, at eight weeks after cut,  
524 suggesting that in Schwann cells STAT3 regulates GAP-43 levels. This is in line with that  
525 seen in other cell types, including neurons and astrocytes. In neurons, GAP-43 elevation  
526 after conditioning lesion depends on STAT3 and is promoted by the cytokine IL-6, which is  
527 also expressed at elevated levels in denervated Schwann cells (Hung et al., 2016). In  
528 astrocytes STAT3 activation is involved in the activation of GAP-43 that occurs during  
529 astrogliosis (Cafferty et al., 2004; Qui et al., 2005).

530 The prevention of the phenotypic deterioration and death of chronically denervated repair  
531 Schwann cells are two important goals in the effort to improve the outcomes after nerve  
532 injury. It is encouraging in this context that there is evidence, albeit limited, that the loss of  
533 the repair-supportive phenotype may be reversible. In rat Schwann cells, a reduction on the

534 expression of c-erbB receptors and p75NTR due to long-term denervation can be restored in  
535 vitro by exposing the cells to NRG-1 (Li et al., 1998). When chronically denervated cells that  
536 have reduced growth supportive capacity are treated in vitro with TGF $\beta$ , a factor expressed  
537 by macrophages and denervated Schwann cells, the regenerative support provided by these  
538 cells increases when they are tested in an in vivo grafting experiment (Sulaiman and  
539 Gordon, 2002). It has also been shown that engineered expression in Schwann cells of  
540 genes encoding particular growth associated factors can promote axon growth in vivo  
541 (Hoyng et al., 2014).

542 Although individual proteins will play a prominent role (Fontana et al., 2012), the exceptional  
543 capacity of repair Schwann cells to support regeneration is likely due to the integrated action  
544 of many components, including cell surface and secreted factors and morphology. The  
545 identification of pathways and signals, including transcription factors, which determine this  
546 repair phenotype opens the way towards pharmacological interventions that co-ordinately  
547 up-regulate the repair programme and therefore provide a favourable way of promoting  
548 nerve repair. c-Jun is one such signal, since the striking elevation of Schwann cell c-Jun  
549 after injury acts as a global amplifier of the repair phenotype, without which regeneration is  
550 seriously curtailed (Arthur-Farraj et al., 2012). The question of whether c-Jun is also involved  
551 in the long-term maintenance of repair Schwann cells is under investigation. The present work  
552 identifies STAT3 as the second transcription factor that regulates the repair cell. Although  
553 STAT3 is less involved in the initial reprogramming of myelin and Remak cells to repair cells,  
554 it has a significant role in long-term denervated distal stumps, where STAT3 maintains the  
555 differentiation state of repair Schwann cells, and supports their survival. In future work it will  
556 be of interest to explore this pathway and related signalling molecules for their potential to  
557 promote regeneration.

558

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776

777

778 **Figure legends**



779 FIGURE 1. Basal activation of STAT3 takes place early in Schwann cell development and  
 780 persists in the adult

781 (A) Western blots showing total STAT3 and its phosphorylated forms in sciatic nerve extracts  
 782 from WT control mice at different developmental stages. STAT3 is present throughout the  
 783 Schwann cell lineage. P-STAT3-Ser727 is found at very low levels in Schwann cell  
 784 precursors (E12) but its expression increases at the immature Schwann cell stage and is  
 785 maintained in adulthood. PSTAT3-Tyr705 is expressed at lower levels and seen from the  
 786 immature Schwann cell stage (E18) onwards. GAPDH is used as a loading control.

787 (B) Fibres in teased sciatic nerve preparations showing P-STAT3-Ser727 immunoreactivity  
 788 in Schwann cell nuclei, (red; upper two panels) also stained with DAPI (lower two panels).  
 789 The two left hand panels show a non-myelinated (Remak) fibre, identified by L1 antibodies.  
 790 The right hand panels shows a myelinated fibre, identified by MBP antibodies. Scale bar:  
 791 25µm.

792

793

794 FIGURE 2. STAT3 appears dispensible for Schwann cell development and myelination.

795 (A) Cre-mediated deletion of the STAT3 gene in Schwann cells. PCR analysis for STAT3  
 796 gene deletion in DNA extracts from tail and sciatic nerve of adult genetically wild-type (gWT),  
 797 STAT3<sup>flf</sup> (f/f) and P<sub>0</sub>-Cre<sup>+</sup>STAT3<sup>flf</sup> (cKO) mice. Amplification of the gWT allele results in a  
 798 210bp fragment while STAT3<sup>flf</sup> allele containing the loxP sites generates a bigger band  
 799 (370bp). The deleted allele generates a 310bp fragment. Specific recombination is absent  
 800 from the tail of cKO mice, but present in cKO sciatic nerve where Cre recombinase is  
 801 expressed under the control of the P<sub>0</sub> gene. Successful deletion in the STAT3 gene was also  
 802 detected in DNA samples from P8 purified Schwann cell cultures from STAT3cKO mice.

803 (B) Electron micrographs from P3 sciatic nerves of WT and STAT3cKO mice showing  
 804 normal nerve morphology and no apparent abnormalities of myelination in the mutant.  
 805 Graphs show quantification of number of nuclei per transverse nerve section (top), nerve  
 806 area (middle), and number of axons starting to be myelinated (bottom) in WT and  
 807 STAT3cKO nerves. n=5 mice of each genotype. Data are presented as mean  $\pm$  SEM. Scale  
 808 bar: 1 $\mu$ m.

809 (C) Electron micrographs from adult sciatic nerves of WT and STAT3cKO mice showing  
 810 normal myelin profiles in the mutant. The graph shows g-ratio analysis; the differences in g-  
 811 ratios are not significant. n=4 of each genotype. Data are presented as mean  $\pm$  SEM. Scale  
 812 bar: 1 $\mu$ m.

813 (D) Ki67 immunolabelling showing similar Schwann cell proliferation in P1.5 sciatic nerves  
 814 of WT and STAT3cKO mice. Transverse nerve sections were double labelled for SOX10 and  
 815 Ki67. The graph shows the percentage of Ki67<sup>+</sup>SOX10<sup>+</sup> cells relative to total number of  
 816 SOX10<sup>+</sup> cells, showing no differences between groups. n=4 for each genotype. Data are  
 817 presented as mean  $\pm$  SEM. Scale bar: 25 $\mu$ m.

818 (E) BrdU immunolabelling showing that inhibition of STAT3 has no significant effect on  
 819 Schwann cell proliferation induced by  $\beta$ NRG-1. Cultured, purified Schwann cells from WT  
 820 mice were treated with  $\beta$ NRG-1 (20ng/ml) for 48 hr to stimulate proliferation with BrdU  
 821 included for the last 24 hr. The experiment was carried out in the presence of vehicle or a  
 822 STAT3 peptide inhibitor. The graph shows the percentage of BrdU<sup>+</sup> nuclei relative to the  
 823 number of Hoechst-stained nuclei. n=4 for each genotype. Data are presented as mean  $\pm$   
 824 SEM. Scale bar: 50 $\mu$ m.

825

826 Figure 3. STAT3 activation after injury promotes Schwann cell survival

827 (A) Western blots showing the up-regulation of P-STAT3-Tyr705 and P-STAT3-Ser727 distal  
 828 to injury in uncut, as well as in 3, 7 and 28 day cut sciatic nerves from WT mice. The

829 measurements were carried out on 2 and 5 mm segments measured from the cut site as  
 830 indicated. Densitometric quantification of Western blots shows the percentage of activation  
 831 in cut relative to uncut nerves. n= a minimum of four mice per time point. Data are presented  
 832 as mean  $\pm$  SEM and analysed by one-way ANOVA. \*\*, P < 0.01; \*\*\*, P < 0.001; \*\*\* P<  
 833 0.0001 (cut vs. uncut).

834 (B) Western blots comparing WT tibial nerve segments maintained in vitro for 3 days, to  
 835 uninjured WT nerves. Note activation of P-STAT3-Tyr705 in the segments, while P-STAT3-  
 836 Ser727 levels remain as in uninjured nerves. Graphs show the percentage of activation in  
 837 segments relative to uninjured nerves. n=5. Data are presented as mean  $\pm$  SEM and  
 838 analysed by Mann-Whitney U test. \*\*, P < 0.01.

839 (C) Teased fibres of 3 day cut nerves from WT control mice labeled with P-STAT3-Tyr705  
 840 and P-STAT3-Ser727 antibodies. Positive immunostaining is localised in Schwann cell  
 841 nuclei. DAPI labels nuclei. Scale bar: 50 $\mu$ m.

842 (D) TUNEL labelling of sections from the distal stump of sciatic nerve sections 3 days after  
 843 cut. Note higher percentage of apoptotic nuclei in STAT3cKO mice compared to WT  
 844 controls. Arrows indicate TUNEL-positive nuclei. Graph shows percentage of TUNEL/S100-  
 845 positive cells in the Hoechst/S100-positive Schwann cell population. n=4 for each genotype.  
 846 Data are presented as mean  $\pm$  SEM and analysed by Mann-Whitney U test. \*, P < 0.05.  
 847 Scale bar: 20 $\mu$ m.

848

849

850 Figure 4. STAT3 protects Schwann cells from apoptosis after 24 hr exposure to UV light.

851 (A) Graph shows higher percentage of apoptotic nuclei in rat Schwann cells treated with  
 852 AG490 (25  $\mu$ M) to inhibit JAK2/STAT3 signalling compared with vehicle. n=3 for each

853 genotype. Data are presented as mean  $\pm$  SEM and analysed by two-way ANOVA. \*\*,  $P <$   
854 0.01.

855

856 (B) Graph shows lower percentage of cell apoptosis in rat Schwann cells expressing a  
857 constitutively active form of STAT3 (STAT3-CA). Cultured Schwann cells were co-  
858 transfected with STAT3-CA or an empty vector (pRcCMV) and GFP.  $n=5$  for each genotype.  
859 Data are presented as mean  $\pm$  SEM and analysed by 2-way ANOVA. \*\*\*\*,  $P < 0.0001$ .

860

861 (C) Graph showing percentage of apoptotic nuclei in STAT3<sup>fl/fl</sup> mouse Schwann cells infected  
862 with a control GFP- (WT) or Cre-expressing (STAT3cKO) adenovirus. Note that Cre-  
863 mediated deletion of the STAT3 gene in STAT3<sup>fl/fl</sup> Schwann cells increased the percentage of  
864 UV-induced apoptosis.  $n=5$  for each genotype. Data are presented as mean  $\pm$  SEM and  
865 analysed by 2-way ANOVA. \*\*\*\*,  $P < 0.0001$ .

866

867 FIGURE 5. STAT3 promotes long-term survival of Schwann cells after nerve injury.

868 (A) Western blots of uncut, and of 1 and 8 week cut nerves in WT mice showing that P-  
869 STAT3-Tyr705 and P-STAT3-Ser727 remain elevated at 8 weeks although the levels are  
870 somewhat lower than at one week. Densitometric quantification shows the percentage of  
871 activation in 8 week cut nerves relative to one week cut nerves.  $n=3$  for each genotype. Data  
872 are presented as mean  $\pm$  SEM and analysed by Wilcoxon's signed rank test. \*,  $P < 0.05$ .

873 (B) Graphs showing the number of cell nuclei per transverse section of the tibial nerve.  
874 Electron micrographs of WT and STAT3cKO tibial nerves 5mm distal to injury were used to  
875 identify and count cell types at 4 weeks ( $n=3$ ), 8 weeks ( $n=7$ ) and 10 weeks ( $n=6$ ) after  
876 sciatic nerve transection. The upper panels show reduced number of Schwann cell nuclei in  
877 STAT3cKO tibial nerves 8 and 10 weeks after injury. The lower panels show no differences

878 in macrophage number, but 10 week cut nerves from STAT3cKO mice had reduced  
 879 numbers of fibroblasts. Data are presented as mean  $\pm$  SEM and analysed by Mann-Whitney  
 880 U test. \*,  $P < 0.05$ ; \*\*,  $P < 0.01$  (STAT3cKO vs WT).

881 (C) Western blots showing no differences in cyclin D1 expression in 4 day and 4 week  
 882 STAT3cKO cut nerves compared to WT nerves. GAPDH is used as a loading control. Graph  
 883 shows the densitometric analysis of the Western blot. Each value is normalised to that seen  
 884 in 4 day cut WT nerves.  $n=4$  for each condition. Data are presented as mean  $\pm$  SEM.

885 (D) Graphs showing higher caspase-3 expression in 8 week cut nerves from STAT3cKO  
 886 mice than in WT mice.  $n=4$  for WT and  $n=3$  for STAT3cKO. Data are presented as mean  $\pm$   
 887 SEM and analysed by Mann-Whitney U test. \*,  $p < 0.05$ .

888

889 FIGURE 6. STAT3 is required for normal autocrine survival signalling by denervated Schwann  
 890 cells.

891 (A) Without autocrine support (at low density, 200 cells/400 $\mu$ l of medium) STAT3cKO and  
 892 WT Schwann cells show similar 48 hr survival in sDM without serum. Survival is expressed  
 893 as a percentage of cells present on sister coverslips 3 hr after plating.  $n=3$ . Data are  
 894 presented as mean  $\pm$  SEM.

895 (B) Hoechst staining shows nuclei of Schwann cells from P1 WT and STAT3cKO nerves  
 896 cultured at high density (4000 cells/400 $\mu$ l of medium) in sDM without serum for 3 and 48 hr  
 897 Note reduced cell numbers in STAT3cKO cultures at 48 hr Scale bar: 50 $\mu$ m.

898 (C) With autocrine support (at high density, 4000 cells/400 $\mu$ l) STAT3cKO Schwann cells  
 899 survive poorly at 48 and 72 hr compared with WT cells. The cells were cultured in sDM  
 900 without serum. Survival is expressed as in (A).  $n=3$ . Data are presented as mean  $\pm$  SEM and  
 901 analysed by two-way ANOVA. \*\*\*,  $P < 0.001$  (STAT3cKO vs WT).

902 (D) Graph showing that low density WT cultures respond to conditioned medium, or IGF-II at  
 903 high concentration (100ng/ml) by increase in survival at 48 hr (compare to A). Note that  
 904 STAT3cKO cultures do not show this response. n=3. Data are presented as mean  $\pm$  SEM  
 905 and analysed by 2-way ANOVA. \*\*\*\*,  $P < 0.0001$  (WT vs STAT3cKO).

906 (E) WT and STAT3cKO Schwann cell precursors are equally responsive to the survival  
 907 signal  $\beta$ NRG-1. Schwann cell precursors were dissected from STAT3cKO and WT E13  
 908 mouse embryos and cultured for 24 hr with or without  $\beta$ NRG-1 (20 ng/ml). Survival was  
 909 assessed as in (A). n=4. Data are presented as mean  $\pm$  SEM and analysed by two-way  
 910 ANOVA. \*\*\*\*,  $P < 0.0001$  ( $\beta$ NRG-1 treated vs untreated).

911 (F) The micrograph shows cultured rat Schwann cells infected with the STAT3-Luc-GFP  
 912 adenovirus (green) and Hoechst nuclear staining (blue). The graph shows luciferase  
 913 fluorescence, indicative of STAT3 activation, in response to 48 hr exposure to conditioned  
 914 médium, the combination of IGF-II (1.6ng/ml), NT3 (0.8ng/ml) and PDGF-BB (0.8ng/ml) that  
 915 mimics the conditioned medium, or high concentration of IGF-II (100ng/ml). The activation is  
 916 expressed relative to the signal obtained from control medium. n=6 for conditioned medium  
 917 and n=12 for the combination of IGF-II, NT3 and PDGF-BB and high concentration of IGF-II.  
 918 Data are presented as mean  $\pm$  SEM and analysed by Kruskal-Wallis test. \*,  $P < 0.05$ ; \*\*\*,  $P <$   
 919 0.001. Scale bar: 10 $\mu$ m.

920

#### 921 FIGURE 7. STAT3 is required for the maintenance of the repair Schwann cell phenotype

922 (A) Electron micrographs showing transverse sections of WT and STAT3cKO distal stumps  
 923 at 4 and 8 weeks after transection (without regeneration). Note abnormal morphology of the  
 924 regeneration tracks (Bungner's bands) in 8 week STAT3cKO nerves compared to 8 week  
 925 WT controls. The right hand panels show higher power micrographs from STAT3cKO nerves  
 926 illustrating redundant basal lamina (asterisks) and regeneration tracks containing a single

927 (one arrow) or a few (double arrow) Schwann cell profiles, enclosed by a basal lamina. Scale  
 928 bar: 1µm. Morphometric analysis of 8 week cut nerves is presented in B-E.

929 (B) The number of Schwann cell profiles per Bungner band is reduced by more than half in 8  
 930 week cut STAT3cKO nerves. n=3. Data are presented as mean ± SEM and analysed by  
 931 Mann-Whitney U test. \*\*\*\*,  $P < 0.0001$ .

932 (C) Schwann profiles in STAT3cKO regeneration tracks lose their roundness and become  
 933 flatter. n=3. Data are presented as mean ± SEM and analysed by Mann-Whitney U test. \*\*\*\*,  
 934  $P < 0.0001$ .

935 (D) The average area of Schwann cell profiles in STAT3cKO nerves is larger than in WT  
 936 nerves. n=3. Data are presented as mean ± SEM and analysed by Mann-Whitney U test.  
 937 \*\*\*\*,  $P < 0.0001$ .

938 (E) STAT3cKO nerves show 6-fold increase in the number of redundant basal laminae  
 939 compared to WT samples. n=3. Data are presented as mean ± SEM and analysed by Mann-  
 940 Whitney U test. \*\*\*\*,  $P < 0.0001$ .

941 (F) qRT-PCR analysis showing significantly lower mRNA expression of the repair Schwann  
 942 cell genes *c-Jun*, *Olig1*, *Shh*, *GDNF* and *BDNF*, in 8 week cut distal nerves from STAT3cKO  
 943 mice compared to WT controls. A pool of 9 WT and STAT3cKO distal stumps were used for  
 944 RNA extraction. n=3. Data are presented as mean ± SEM and analysed by Mann-Whitney U  
 945 test. \*,  $P < 0.05$ .

946 (G) Western blots showing lower expression of c-Jun in 8 week cut nerves from STAT3cKO  
 947 mice compared to WT controls. n=4 for each genotype. Data are presented as mean ± SEM  
 948 and analysed by Mann-Whitney U test. \*,  $P < 0.05$ .

949 (H) Western blots showing lower expression of GAP-43 in 8 week cut nerves from  
 950 STAT3cKO mice compared to WT controls. Shown also are the relatively low GAP-43 levels  
 951 1 week after cut in both genotypes. Graphs show the densitometric analysis of Western blots

relative to WT uncut. n=4 for each genotype. Data are presented as mean  $\pm$  SEM and analysed by two-way ANOVA.\*\*\*,  $P < 0.001$ .

(I) Western blots showing similar levels of p75NTR and N-Cadherin in 8 week cut nerves WT and STAT3cKO mice. n=4 for each genotype. Graphs show the densitometric analysis of Western blots relative to WT uncut. Data are presented as mean  $\pm$  SEM.

957

FIGURE 8. STAT3 is not required for the initial generation of the repair Schwann cell phenotype

(A) qRT-PCR analysis shows that one week after cut c-Jun expression in WT and STAT3cKO nerves is similar. RNA was extracted from one week cut nerves using a pool of 2 distal stumps from STAT3cKO and WT mice for each experiment. n=4. Data are presented as mean  $\pm$  SEM.

(B) Western blots showing similar c-Jun protein levels in one week cut WT and STAT3cKO nerves. Graphs show the densitometric analysis of Western blots relative to WT uncut. n=4 mice for each genotype. Data are presented as mean  $\pm$  SEM.

(C) Western blots showing no differences in the expression of N-Cadherin and p75NTR proteins in WT and STAT3cKO nerves one week after cut. Graphs show the densitometric analysis of Western blots relative to WT uncut. n=4 mice for each genotype. Data are presented as mean  $\pm$  SEM.

(D) Walking track analysis to quantify sensory-motor function following sciatic nerve injury. Sciatic function index (SFI; Insearra et al 1998), which assesses nerve-mediated function of the hind limb by measuring toe spread and print length of hind paw footprints, showed similar values for WT and STAT3cKO mice before and, significantly, after nerve injury. The right hand panel shows representative examples of footprints from uninjured mice and at different times after injury as indicated. Note the increased print length and decreased toe



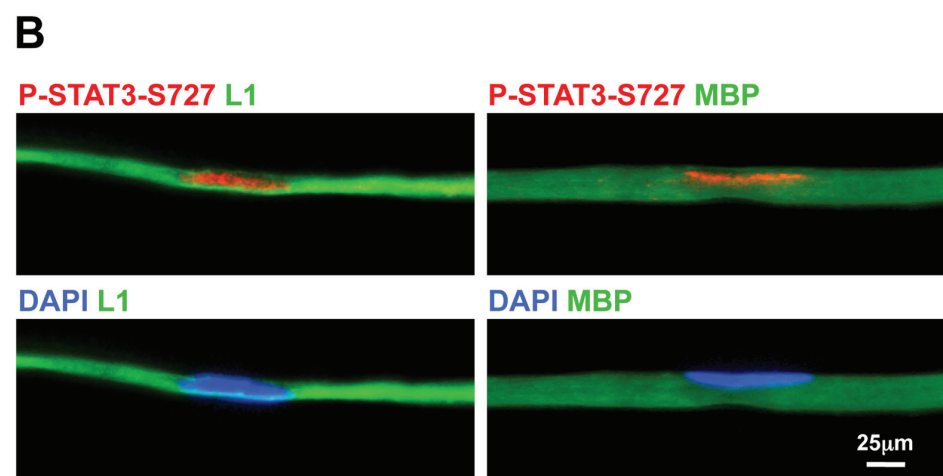
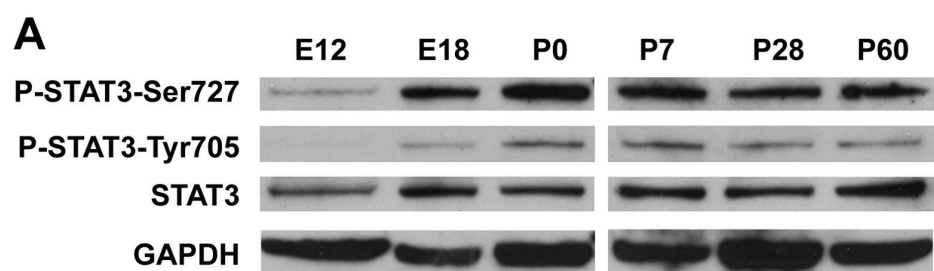
977 spread after peripheral nerve lesion in both mouse lines ui: uninjured. n=6. Data are  
978 presented as mean  $\pm$  SEM.

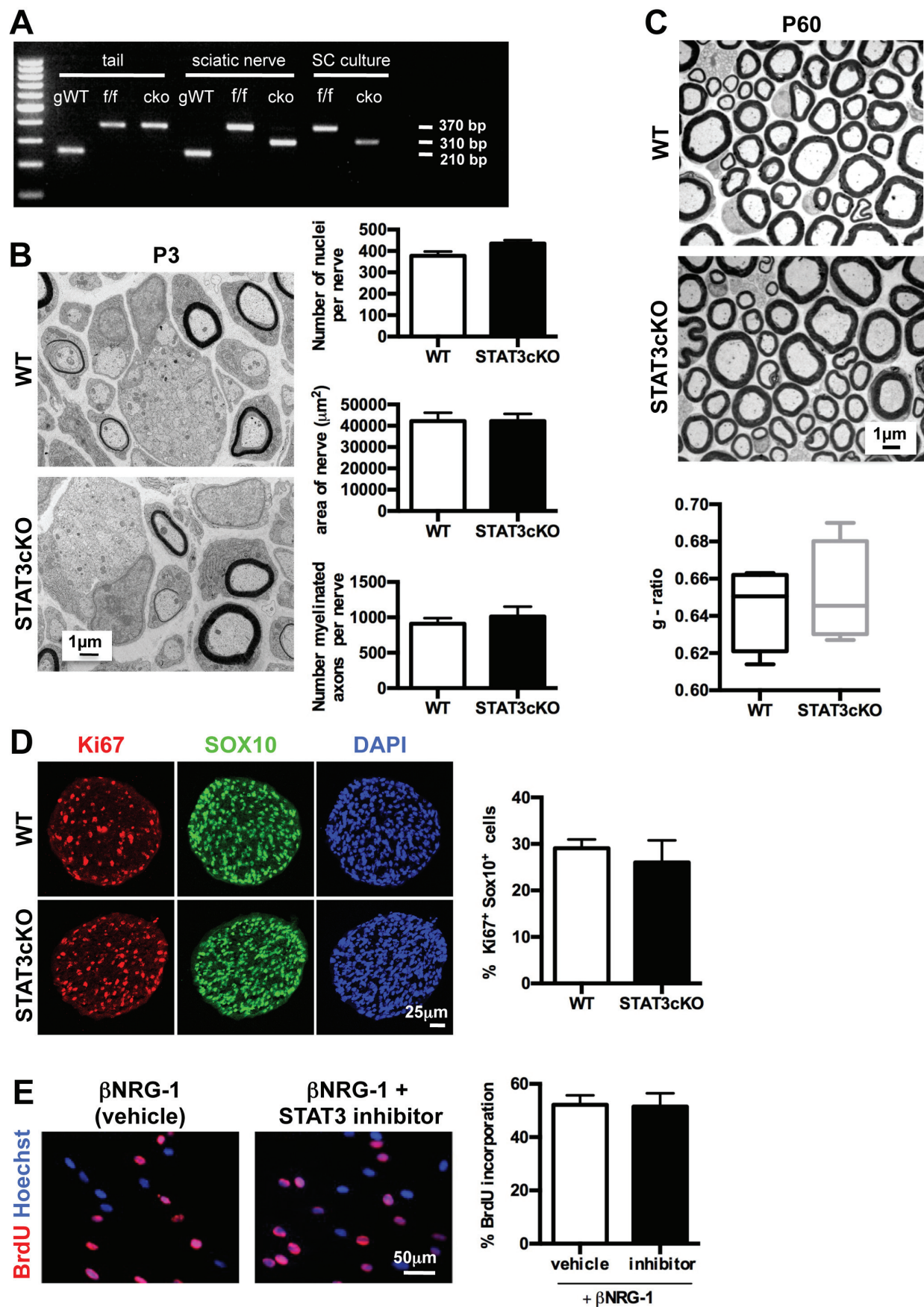
979 (E) Toe spread reflex measurements show no significant differences in recovery of motor  
980 function between WT and STAT3cKO mice. n=6. Data are presented as mean  $\pm$  SEM.

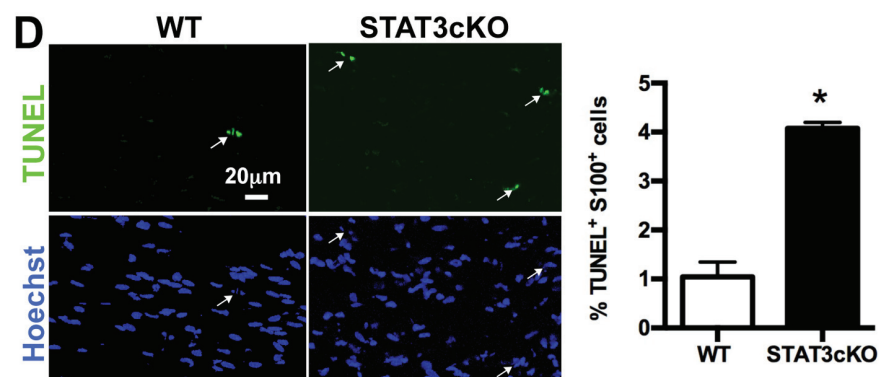
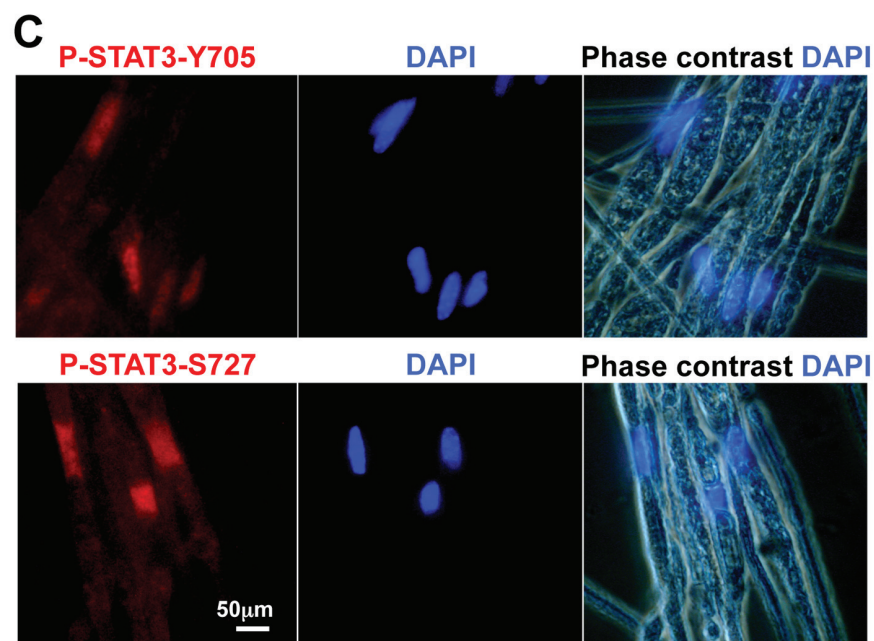
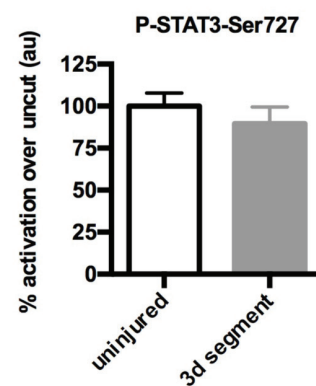
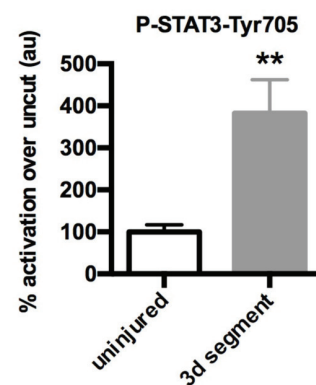
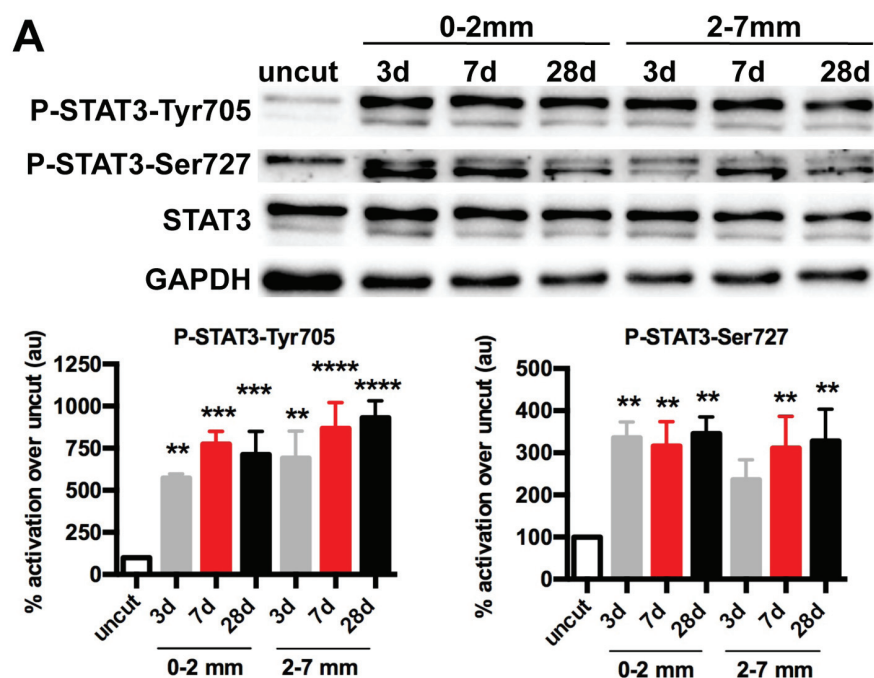
981 (F) Toe pinch test for sensory function shows no significant differences between WT and  
982 STAT3cKO mice in the percentage of mice responding to pinching distal parts of toes 3, 4  
983 and 5 after sciatic nerve lesion. n=6. Data are presented as mean  $\pm$  SEM.

984

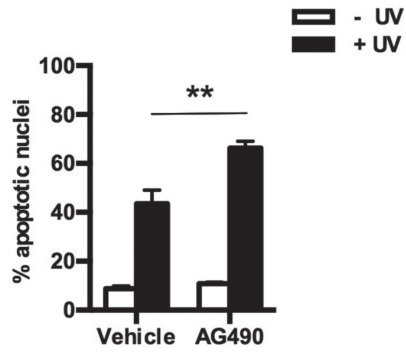
985



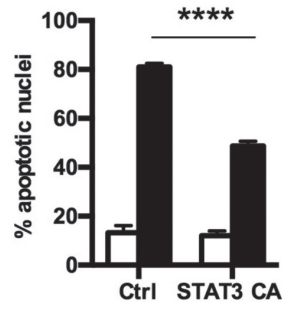




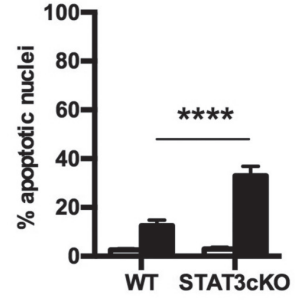
**A**



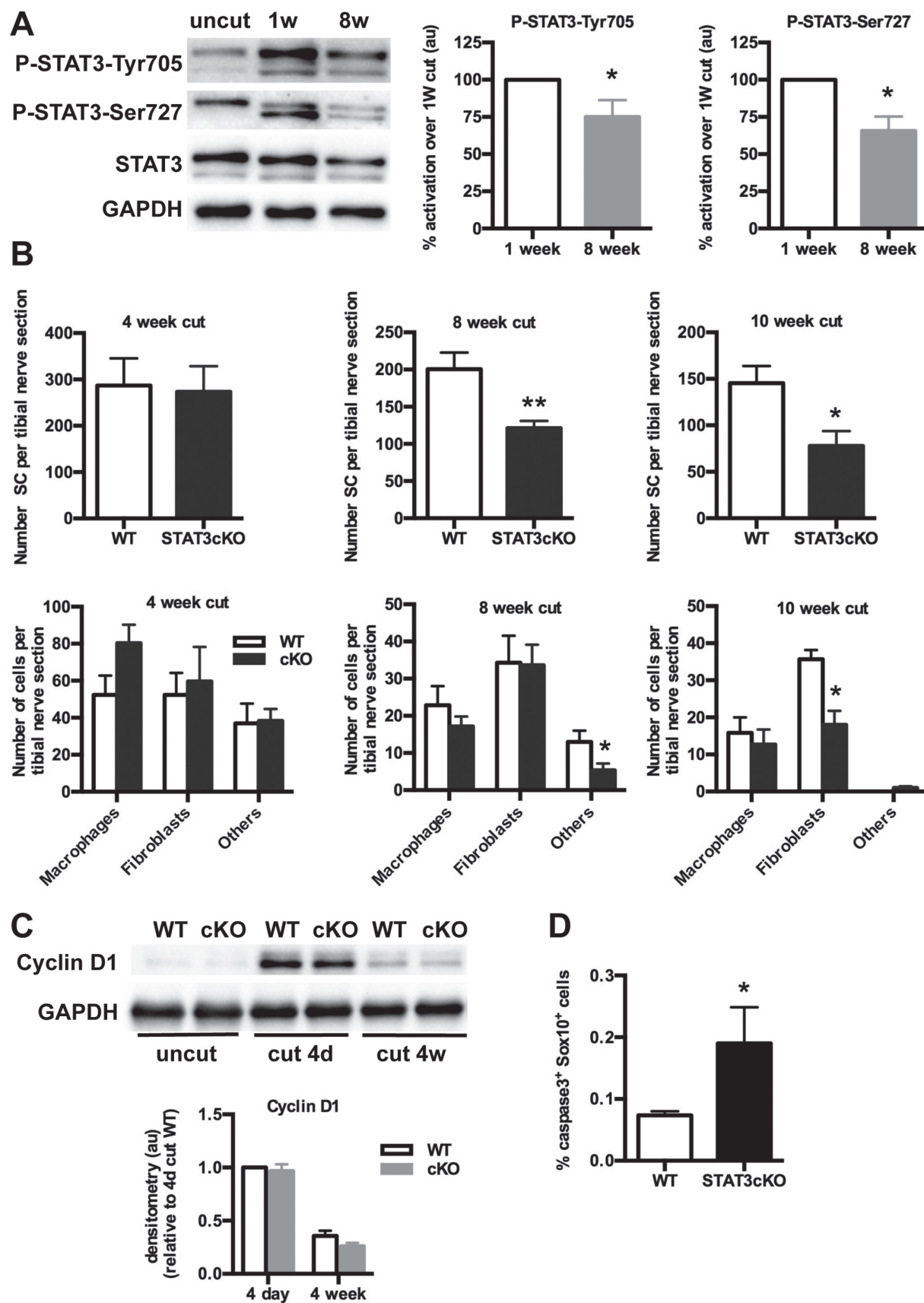
**B**

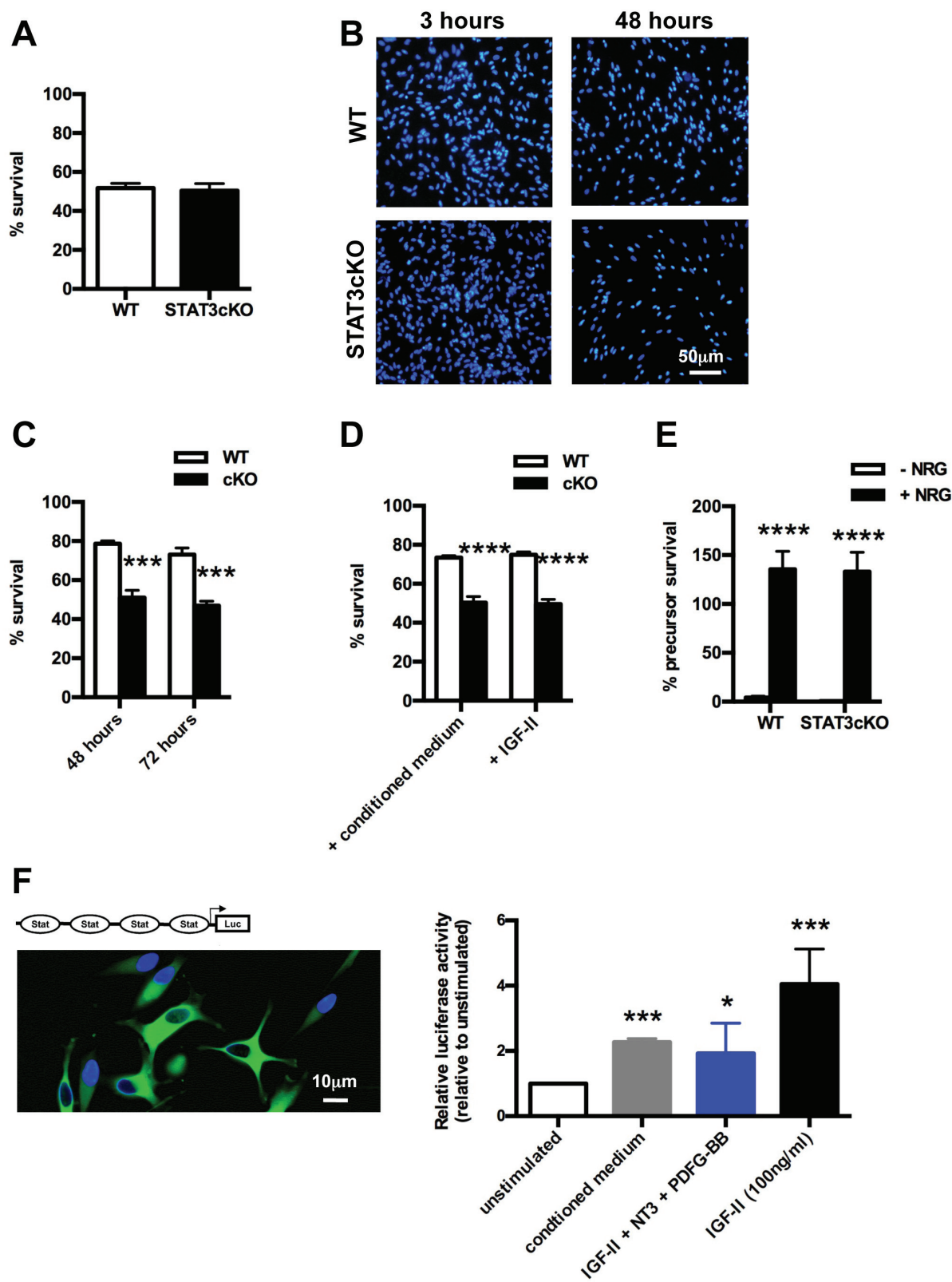


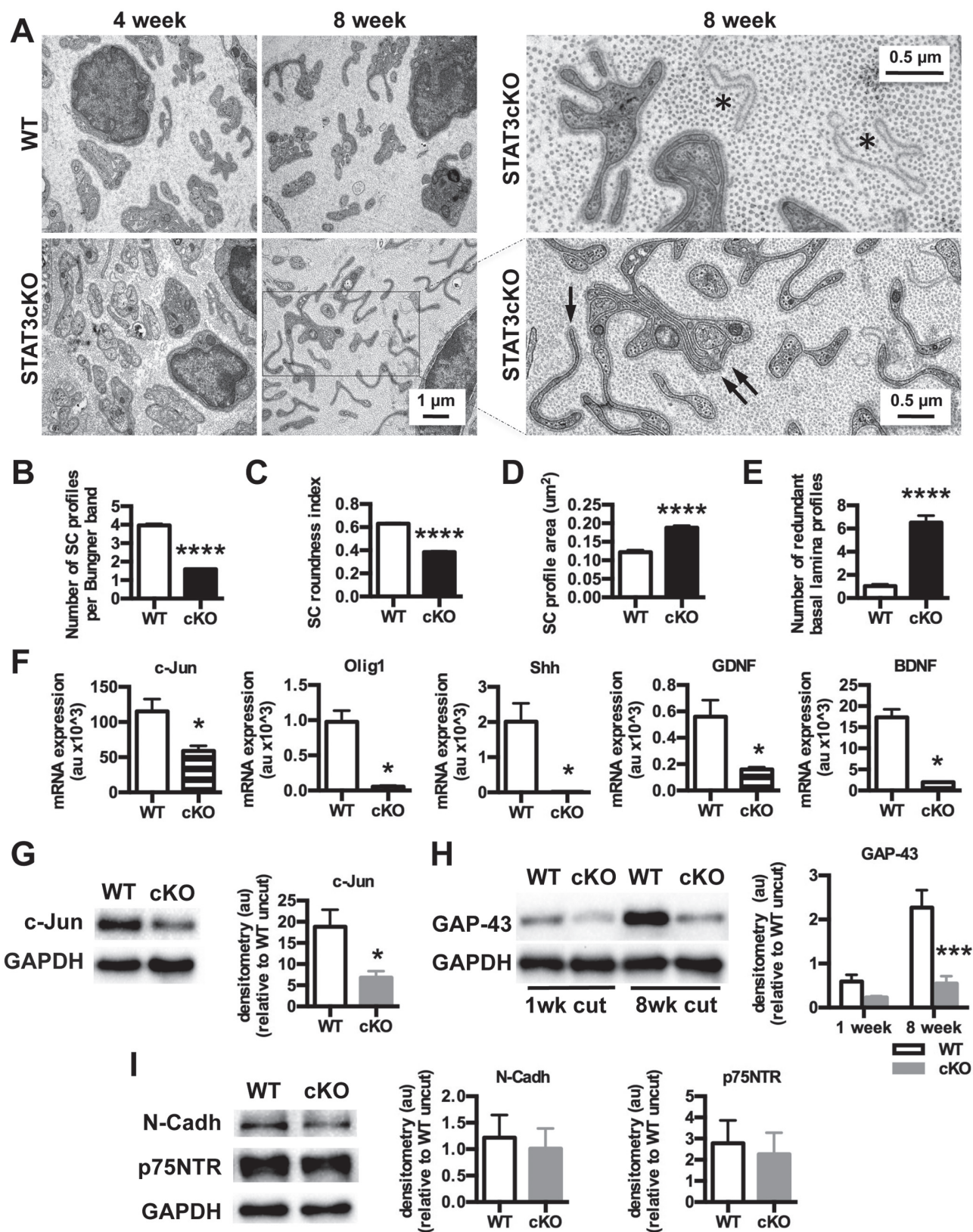
**C**



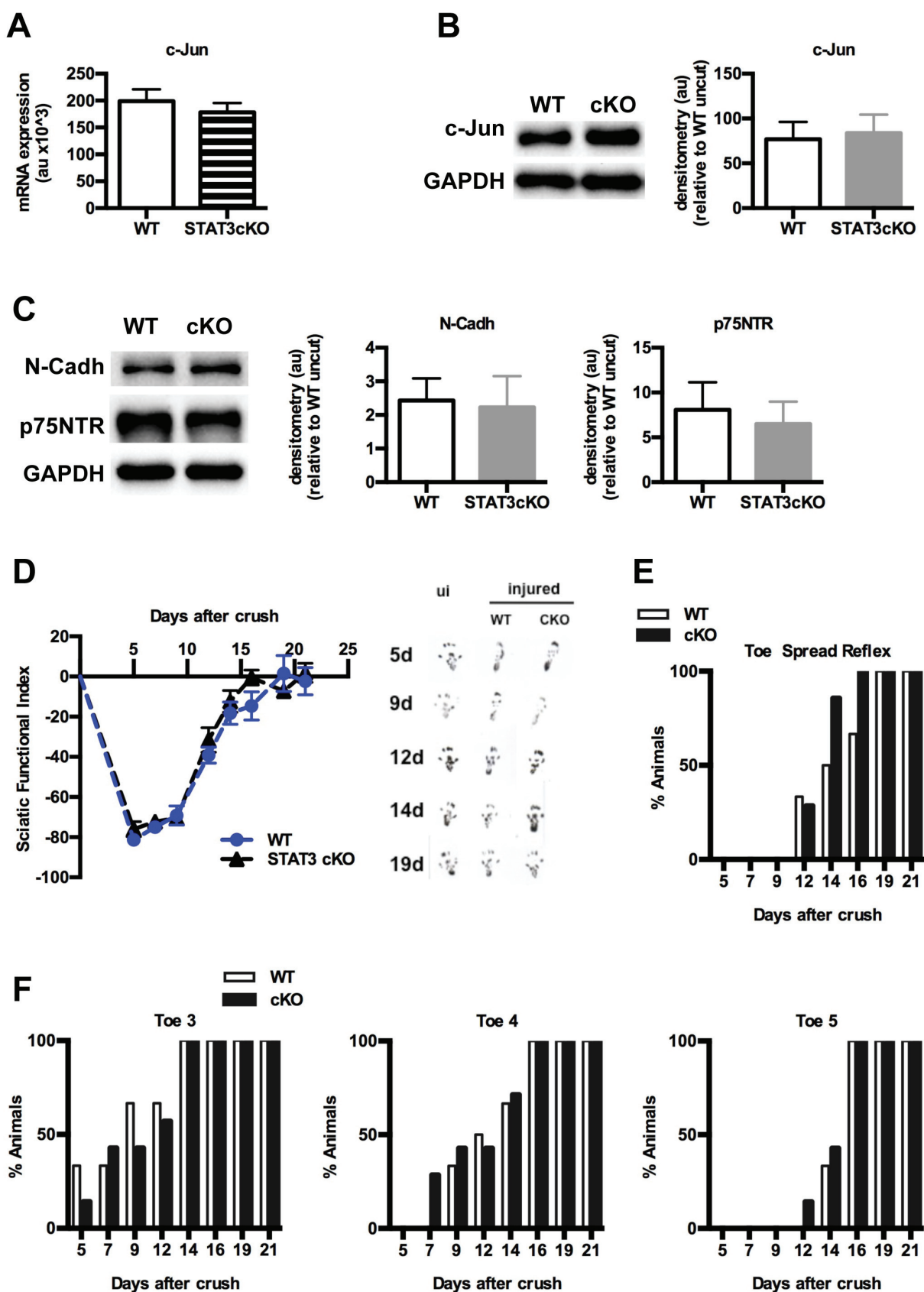












**Table 1. Primers for qPCR and genotyping**

Gene		Accession	Sense sequence	Antisense sequence
	Glyceraldehyde-3-phosphate dehydrogenase	NM_001289726.1	AGGTCGGTGTGAACGGATTG	TGTAGACCATGTAGTTGAGGTCA
<b>Canx</b>	Calnexin	NM_007597.3	CAACAGGGGAGGTTTATTTTGCT	TCCCACTTTCCATCATATTTGGC
<b>c-Jun</b>	c-Jun	NM_010591.2	CCTTCTACGACGATGCCCTC	GGTTCAAGGTCATGCTCTGTTT
<b>Olig1</b>	Oligodendrocyte transcription factor 1	NM_016968.4	CCGCCCCAGATGTACTATGC	AACCCACCAGCTCATACAGC
<b>Shh</b>	Sonic hedgehog	NM_009170.3	AAAGCTGACCCCTTTAGCCTA	TTCGGAGTTTCTTGTGATCTTCC
<b>GDNF</b>	Glial cell-derived neurotrophic factor	NM_010275.3	GATTCGGGCCACTTGGAGTT	GACAGCCACGACATCCCATATA
<b>BDNF</b>	Brain-derived neurotrophic factor	NM_007540.4	TCATACTTCGGTTGCATGAAGG	AGACCTCTCGAACCTGCCC

Primers used for qPCR

Primers used for genotyping STAT3<sup>f/f</sup> mice

5'-CAC CAA CAC ATG CTA TTT GTA GG-3' and 5'-CCT GTC TCT GAC AGG CCA TC-3' (210-bp band for WT allele and 370-bp band flox allele). Primers for the STAT3 deleted flox allele (310-bp band) were 5'-CAC CAA CAC ATG CTA TTT GTA GG-3' and 5'-GCA GCA GAA TAC TCT ACA GCT C-3'. The primers for the P0-Cre transgene were 5'-GCTGGCCCAAATGTTGCTGG-3' and 5'-CCACCACCTCTCCATTGCAC-3' (480-bp band; Feltri et al., 2002).